PATENT COOPERAT IN TREATY

From the INTERNATIONAL BUREAU

PCT	To:
NOTIFICATION OF ELECTION (PCT Rule 61.2) Date of mailing (day/month/year)	Commissioner US Department of Commerce United States Patent and Trademark Office, PCT 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202 ETATS-UNIS D'AMERIQUE
14 November 2000 (14.11.00)	in its capacity as elected Office
International application No. PCT/EP00/02467	Applicant's or agent's file reference ML/B45182
International filing date (day/month/year) 17 March 2000 (17.03.00)	Priority date (day/month/year) 19 March 1999 (19.03.99)
Applicant	
CAPIAU, Carine et al	
The designated Office is hereby notified of its election made in the demand filed with the International Preliminar 13 October 20 in a notice effecting later election filed with the International Preliminar 13 October 20	y Examining Authority on:
2. The election X was was was not made before the expiration of 19 months from the priority Rule 32.2(b).	date or, where Rule 32 applies, within the time limit under
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer S. Mafla

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

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 20 April 1999 (20.04.1999)
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 15 July 1999 (15.07.1999)
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- (71) Applicant (for all designated States except US): SMITHKLINE BEECHAM BIOLOGICALS S.A. [BE/BE]; Rue de l'Institut 89, B-1330 Rixensart (BE).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CAPIAU, Carine [BE/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). DESCHAMPS, Marguerite [BE/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). DESMONS, Pierre, Michel [BE/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). LAFERRIERE, Craig, Antony, Joseph [CA/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). POOLMAN,

Jan [NL/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). PRIEELS, Jean-Paul [BE/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE).

- (74) Agent: GIDDINGS, Peter, John; SmithKline Beecham Corporate Intellectual Property, Two New Horizons Court, Brentford, Middlesex TW8 9EP (GB).
- (81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- With international search report.
- (88) Date of publication of the international search report: 1 February 2001

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

0/56359 A3

(54) Title: VACCINE AGAINST STREPTOCOCCUS PNEUMONIAE

(57) Abstract: The present invention relates to the field of bacterial polysaccharide antigen vaccines. In particular, the present invention relates to vaccines comprising a pneumococcal polysaccharide antigen, typically a pneumococcal polysaccharide conjugate antigen, formulated with a protein antigen form Streptococcus pneumoniae, and optionally a Th1-inducing adjuvant.

mai Application No PCT/EP 00/02467

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K39/09 A61K39/385 A61P31/04 A61K39/39

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

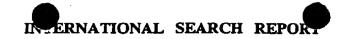
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, MEDLINE, CHEM ABS Data, EMBASE, SCISEARCH

C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.					
X	WO 90 06951 A (PATON JAMES CLELAND; HANSMAN DAVID JOHN (AU); MITCHELL TIMOTHY JOH) 28 June 1990 (1990-06-28) cited in the application page 3, line 35 -page 4, line 23 page 10, line 1 - line 3	1-9, 13-18					
Υ	claims 1-14	10-12					
X	LEE C J ET AL: "Immunologic epitope, gene, and immunity involved in pneumococcal glycoconjugate." CRITICAL REVIEWS IN MICROBIOLOGY, vol. 23, no. 2, 1997, pages 121-141, XP000946772	1-7, 13-18					
Y	the whole document, especially page 132 column 2 line 27 - page 135 column 2 line 18	8-12					

Further documents are listed in the continuation of box C.	Y atent ramily members are listed in annex.
Special categories of cited documents : A* document defining the general state of the art which is not considered to be of particular relevance.	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
13 October 2000	30/10/2000
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer
NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016	Stein, A

Form PCT/ISA/210 (second sheet) (July 1992)



Interr nal Application No PCT/EP 00/02467

C.(Continue	INION) DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory °	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
X	BRILES DAVID E ET AL: "Pneumococcal diversity: Considerations for new vaccine strategies with emphasis on pneumococcal surface protein A (PspA)." CLINICAL MICROBIOLOGY REVIEWS, vol. 11, no. 4, October 1998 (1998-10), pages 645-657, XP000946754 ISSN: 0893-8512	1-7, 13-18
Υ	the whole document especially page 646 column 1 line 35 - page 646 column 2 line 9; page 653 column 1 line 27-46	8-12
Y	WO 96 33739 A (SMITHKLINE BEECHAM BIOLOG; GARCON NATHALIE MARIE JOSEPHE (BE); FRI) 31 October 1996 (1996-10-31) cited in the application page 1, line 1 -page 3, line 21 claims 1,5,8-10,12	8-12
X	ALEXANDER JANET E ET AL: "Immunization of Mice with Pneumolysin Toxoid Confers a Significant Degree of Protection against At Least Nine Serotypes of Streptococcus pneumoniae." INFECTION AND IMMUNITY, vol. 62, no. 12, 1994, pages 5683-5688, XP002149967 ISSN: 0019-9567 page 5683, column 1, line 26 -column 2, line 8 page 5687, column 1, line 51 - line 58	1-5, 13-18
Α	MICHON F ET AL: "Multivalent pneumococal capsular polysaccharide conjugate vaccines employing genetically detoxified pneumolysin as a carrier protein" VACCINE, GB, BUTTERWORTH SCIENTIFIC. GUILDFORD, vol. 16, no. 18, 1998, pages 1732-1741, XP002089380 ISSN: 0264-410X the whole document	1-5,7-9, 13-18
A	DE VELASCO E ALONSO ET AL: "Synthetic peptides representing T-cell epitopes act as carriers in pneumococcal polysaccharide conjugate vaccines." INFECTION AND IMMUNITY, vol. 63, no. 3, 1995, pages 961-968, XP002149966 ISSN: 0019-9567 the whole document	1-5, 8-11, 13-18

Form PCT/ISA/210 (continuation of second sheet) (July 1992)



Interr nal Application No PCT/EP 00/02467

C (Continu	THE POST REPORT CONCINERED TO BE BELLEVANT	101/21 00/0240/		
Category °	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to daim No.		
A	WO 95 17209 A (SMITHKLINE BEECHAM BIOLOG; MOMIN PATRICIA MARIE (BE); GARCON NATHA) 29 June 1995 (1995-06-29) cited in the application page 4, line 26 -page 5, line 16 claims 1-5,8-10,12,13	10-12		



Information on patent family members

Interr nal Application No PCT/EP 00/02467

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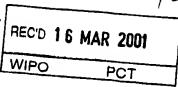
Interr pal Application No PCT/EP 00/02467

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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AFR 34 AMOT

PATENT COOPERSION TREATY REC'D 16 MAR 2001

PCT



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or age	nt's file reference		See Notific	ation of Transmittal of International	
ML/B451	82		FOR FURTHER AC		/ Examination Report (Form PCT/IPEA/416)	
Internationa	ıl appl	cation No.	International filing date (c	lay/month/year)	Priority date (day/month/year)	
PCT/EP00/02467 17/03/2000 19/03/1999						
Internationa A61K39/0		nt Classification (IPC) or nat	ional classification and IPC			
Applicant	•					
ŞMITHKI	INE	BEECHAM BIOLOGIC	CALS S.A.			
1. This is	nterna trans	ational preliminary exami smitted to the applicant a	nation report has been coording to Article 36.	prepared by this Inte	ernational Preliminary Examining Authority	
2. This F	REPO	RT consists of a total of	7 sheets, including this	cover sheet.		
b (s	een a see R	port is also accompanied mended and are the bas ule 70.16 and Section 60 exes consist of a total of	is for this report and/or 07 of the Administrative	sheets containing re	n, claims and/or drawings which have ectifications made before this Authority ne PCT).	
3. This r	eport	contains indications rela	ting to the following iten	ns:	and the second s	
٠ ١	×	Basis of the report		•		
· II	□					
III		Non-establishment of o		verty, inventive step	and industrial applicability	
V	Ø	•	nder Article 35(2) with re	egard to novelty, inve	entive step or industrial applicability;	
VI		Certain documents cite				
VII	\boxtimes	Certain defects in the in	nternational application			
VIII		Certain observations or	n the international applic	cation		
Date of sub	missio	on of the demand		Date of completion of	this report	
13/10/20	00			13.03.2001		
	exam	g address of the international ining authority:	ı	Authorized officer	STATE OF STA	
<u></u>	D-80	opean Patent Office 0298 Munich +49 89 2399 - 0 Tx: 523656	S epmu d	Thiele, U	(Law estate)	
		+49 89 2399 - 4465	•	Telephone No. +49 8	9 2399 8643	

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

I. Basis of the report

International application No. PCT/EP00/02467

		•					
1.	This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).): Description, pages:						
	1-7	2	as originally filed				
	Cla	ims, No.:					
	1-1	5	as received on	05/03/2001	with letter of	02/03/2001	
	Dra	wings, sheets:					
	1/1		as originally filed				
				•			
2.			guage, all the elements marke international application was f				
	The	se elements were	available or furnished to this A	authority in the f	ollowing language: ,	which is:	
		the language of a	translation furnished for the p	urposes of the i	nternational search (u	nder Rule 23.1(b)).	
		the language of p	ublication of the international a	application (und	er Rule 48.3(b)).		
		the language of a 55.2 and/or 55.3).	translation furnished for the p	urposes of inter	national preliminary e	xamination (under Rule	

With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

 contained in the international application in written form.
 filed together with the international application in computer readable form.
 furnished subsequently to this Authority in written form.
 furnished subsequently to this Authority in computer readable form.
 The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
 The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

 The amendments have resulted in the cancellation of:

pages:

Nos.:

☐ the description,

☐ the claims,

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/02467

			•			
		the drawings,	sheets:			
5.			established as if (some of) the amendment ond the disclosure as filed (Rule 70.2(c))	ents had not been made, since they have been :		
		(Any replacement sh report.)	eet containing such amendments must b	e referred to under item 1 and annexed to this		
6.	Add	litional observations,	necessary:			
III.	Nor	n-establishment of o	oinion with regard to novelty, inventive	e step and industrial applicability		
1.			e claimed invention appears to be novel, ally applicable have not been examined i			
		the entire internation	al application.			
	Ø	claims Nos. 12,15.				
be	caus	se:				
	×		application, or the said claims Nos. 12,18 nternational preliminary examination (<i>spe</i>	5 relate to the following subject matter which ecify):		
			ns or drawings (<i>indicate particular elemen</i> pinion could be formed (<i>specify</i>):	nts below) or said claims Nos. are so unclear		
		the claims, or said cl could be formed.	aims Nos. are so inadequately supported	d by the description that no meaningful opinion		
		no international sear	ch report has been established for the sa	id claims Nos		
2.	A meaningful international preliminary examination report cannot be carried out due to the failure of the nucleotic and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:					
		the written form has	not been furnished or does not comply wi	th the standard.		
		the computer readab	le form has not been furnished or does n	ot comply with the standard.		
V.			der Article 35(2) with regard to novelty ns supporting such statement	v, inventive step or industrial applicability;		
1.	Stat	ement				
	Nov	elty (N)	Yes: Claims 1-15			

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/02467

No:

Claims

Inventive step (IS)

Yes:

Claims 1-15

No: Claims

Industrial applicability (IA)

OI-----

Yes: Claims 1-11,13,14

No: Claim

Claims 12?,15?(see section III)

2. Citations and explanations see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

Section III

Claims 12 and 15 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

For the assessment of said claims on the question whether they are industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.

Section V

Reference is made to the following documents: 1)

D1: WO 90 06951 A

D2: CRITICAL REVIEWS IN MICROBIOLOGY, vol. 23, no. 2, 1997, pages 121-

141.

D3: CLINICAL MICROBIOLOGY REVIEWS, vol. 11, no. 4, October 1998, pages

645-657

D4: INFECTION AND IMMUNITY, vol. 62, no. 12, 1994, pages 5683-5688

D5: VACCINE, GB, BUTTERWORTH SCIENTIFIC. GUILDFORD, vol. 16, no. 18,

1998, pages 1732-1741

D6: WO 96 33739 A

The subject-matter of claim 1 would appear to be novel and inventive in view of 2) the known state of the art (Art. 33(2), (3) PCT).

D1 (see esp. page 2, line 16 - page 4, line 23; page 10, lines 1 - 3; claims 9 - 14, claim 23) merely discloses vaccines comprising at least one Streptococcus

pneumoniae polysaccharide antigen and the S. pneumoniae protein antigen pneumolysin in a mutated form, and the preparation thereof. The polysaccharide may be derived from a poly-, esp. 23valent vaccine. Adjuvants such as alumina gel may be added. The antigen / polysaccharide complex is either conjugated or non conjugated. Carrier proteins such as tetanus toxoid are mentioned. The vaccine aims at protecting young children from pneumococcal infection.

D2 (see esp. page 132, col. 2, line 27 - page 135, col. 2, line 18) merely pertains to pneumococcal glycoconjugate vaccines. To this end, inactivated pneumolysin or pneumococcal surface protein A is conjugated to one or more pneumococcal polysaccharides. Carrier proteins such as tetanus toxoid are mentioned. The vaccine aims at protecting infants and the elderly (see page 121, r. col.).

D3 (page 646, chapter headed "Polysaccharide-Protein Conjugate [...]"; page 653, chapter headed "Potential use of PspA [...]") merely suggests in particular the use of Pneumococcal surface protein A as a carrier for pneumococcal polysaccharides in vaccines for young children and the elderly.

D4 overcomes the poor immunogenicity of (multivalent) S. pneumoniae polysaccharides in vaccines by including pneumococcal proteins, in particular pneumolysin toxoids, either as protein carrier and/or as protective immunogen in their own right (see esp. page 5683, r.col., lines 4 - 8; p. 5687, "Conclusion").

Lastly, D5 is merely concerned with detoxified pneumolysin as a carrier protein for pneumococcal capsular polysaccharides.

None of the said documents however contemplates the use of an adjuvant which is a preferential inducer of a Th1 response.

Although D6 (see pages 1, 2; claims 1, 5, 8 - 10, 12) discusses such Th1 adjuvants, there is no mention of how it could aid the coordination of humoral and cell-mediated immune responses against pneumococci when present in a composition further comprising a pneumococcal polysaccharide and protein, this being the unexpected effect resulting from the feature distinguishing the subjectmatter of claim 1 from D1 - D5. D6 rather teaches that necrosis at the injection site of vaccination can be avoided by use of formulations containing a combination of such adjuvant and a sterol.

The afore mentioned advantages of the present invention are apparent from the description, page 16, line 27 - page 17, line 6; page 60, lines 8 - 12; and Examples 4B and 4C.

3) Independent claims 10 - 15 rely on the same novel and inventive concept as claim 1 and therefore, together with dependent claims 2 - 9, also meet the requirements of Arts. 33(2) and (3) PCT.

Section VII

- 1) Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D2 D5 is not mentioned in the description, nor are these documents identified therein.
- 2) The description has not been adapted to the wording of the amended claims (Guidelines C-III, 4.3)

Additional note: The applicant submitted written evidence (PubMed entries with PMIDs 11115692, 10217586, 9726341 and 9674889) for a general acceptance of the said term "CRM197" in the technical field concerned.



Claims:

1. An immunogenic composition comprising at least one Streptococcus pneumoniae polysaccharide antigen and at least one Streptococcus pneumoniae protein antigen or immunologically functional equivalent thereof.

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- 2. The immunogenic composition of claim 1, wherein the protein antigen is an outer surface protein or a secreted protein of *Streptococcus pneumoniae* or immunologically functional equivalents thereof.
- 10 3. The immunogenic composition of claims 1 or 2, wherein the protein antigen is a toxin, adhesin or lipoprotein of *Streptococcus pneumoniae* or immunologically functional equivalents thereof.
- 4. The immunogenic composition of claims 1-3, wherein the protein antigen or immunologically functional equivalent thereof is selected from the group: pneumolysin, PspA or transmembrane deletion variants thereof, PspC or transmembrane deletion variants thereof, PsaA or transmembrane deletion variants thereof, glyceraldehyde-3-phosphate dehydrogenase, and CbpA or transmembrane deletion variants thereof.

- 5. The immunogenic composition of claims 1-4, wherein the polysaccharide antigen is presented in the form of a polysaccharide-protein carrier conjugate.
- 6. The immunogenic composition of claim 5, wherein the carrier protein is selected from the group consisting of: Diphtheria toxoid, Tetanus toxoid, CRM197, Keyhole Limpet Haemocyanin (KLH), protein derivative of Tuberculin (PPD), and protein D from H. influenzae.
- 7. An immunogenic composition as claimed in any of claims 1 to 6 wherein the vaccine comprises at least four pneumococcal polysaccharide antigens from different serotypes.



- 8. An immunogenic composition as claimed herein additionally comprising an adjuvant.
- 9. An immunogenic composition as claimed in claim 8, wherein the adjuvant
 5 comprises an aluminium salt.
 - 10. An immunogenic composition as claimed in claim 8, wherein the adjuvant is a preferential inducer of a TH1 response.
- 10 11. An immunogenic composition as claimed in claim 10, wherein the adjuvant comprises at least one of the following: 3D-MPL, a saponin immunostimulant, or an immunostimulatory CpG oligonucleotide.
- 12. An immunogenic composition as claimed in claim 11, wherein the adjuvant comprises a carrier selected from the group comprising: an oil in water emulsion, liposomes, and an aluminium salt.
 - 13. An immunogenic composition composition as claimed herein for use as a medicament.
 - 14. A vaccine comprising the immunogenic composition of claims 1-12.
- 15. A method of preventing or ameliorating Streptoccocus pneumoniae infection in a patient over 55 years, comprising administering an effective amount of a vaccine
 25 comprising a Streptococcus pneumoniae polysaccharide and at least one Streptococcus pneumoniae protein, and optionally a TH1 inducing adjuvant.
- 16. Use of a pneumococcal polysaccharide antigen in combination with a Streptoccocus pneumoniae protein antigen, and optionally a TH1 inducing adjuvant,
 30 in the manufacture of a medicament for the prevention of pneumonia in patients over 55 years.



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17. A method of making an immunogenic composition as claimed herein, comprising the steps of:

selecting one or more pneumococcal polysaccharide antigen(s); selecting one or more pneumococcal protein antigen(s); and mixing said polysaccharide and protein antigens with a suitable excipient.

18. A method of preventing or ameliorating Otitis media in Infants, comprising administering a safe and effective amount of a vaccine comprising a *Streptococcus pneumoniae* polysaccharide antigen and a *Streptococcus pneumoniae* protein antigen optionally with a TH1 adjuvant, to said Infant.



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(71) Applicant (for all designated States except US): SMITHK-LINE BEECHAM BIOLOGICALS S.A. [BE/BE]; Rue de

l'Institut 89, B-1330 Rixensart (BE).

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(72) Inventors; and

9909077.11

9909466.6

9916677.9

(75) Inventors/Applicants (for US only): CAPIAU, Carine [BE/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). DESCHAMPS, Marguerite [BE/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). DESMONS, Pierre, Michel [BE/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). LA-FERRIERE, Craig, Antony, Joseph [CA/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE). POOLMAN, Jan [NL/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330

Rixensart (BE). PRIEELS, Jean-Paul [BE/BE]; SmithKline Beecham Biologicals s.a., Rue de l'Institut 89, B-1330 Rixensart (BE).

(74) Agent: GIDDINGS, Peter, John; SmithKline Beecham Corporate Intellectual Property, Two New Horizons Court, Brentford, Middlesex TW8 9EP (GB).

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(57) Abstract

The present invention relates to the field of bacterial polysaccharide antigen vaccines. In particular, the present invention relates to vaccines comprising a pneumococcal polysaccharide antigen, typically a pneumococcal polysaccharide conjugate antigen, formulated with a protein antigen form *Streptococcus pneumoniae*, and optionally a Th1-inducing adjuvant.

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